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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

Complete if Known

Application Number	10/008,269
Filing Date	November 8, 2001
First Named Inventor	Sanjay Deshpande et al.
Group Art Unit	2104
Examiner Name	To be assigned
Attorney Docket Number	4797-65

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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (if known)				
JG		5,864,871		Kitain et al.	01-26-1999		
		6,154,731		Monks et al.	11-28-2000		
		6,154,729		Cannon et al.	11-28-2000		
		6,144,947		Schwartz	11-07-2000		
		6,119,104		Brumbelow et al.	09-12-2000		
		5,913,202		Motoyama	06-15-1999		
		5,880,726		Takiguchi et al.	03-09-1999		
		5,864,827		Wilson	01-26-1999		
		5,809,483		Broka et al.	09-15-1998		
		5,712,987		Waits et al.	01-27-1998		
		5,689,651		Lozman	11-18-1997		
		5,502,637		Beaulieu et al.	03-26-1996		
		5,339,392		Risberg et al.	08-16-1994		
		5,270,922		Higgins	12-14-1993		

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document; by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		Number	Kind Code ² (if known)				
JG		5,006,998		Yasunobu et al.	04-09-1991		
JG		4,989,141		Lyons et al.	01-29-1991		

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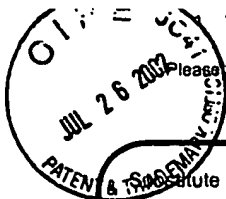
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JG		"Morningstar to Provide American Skandia With Asset Allocation Tool," Business Wire, August 23, 2000.	
		"ADP Brokerage Services Highlights Significant New Services and Partnerships as SIA Technology Conference and Exhibit," Business Wire, June 20, 2000.	
		"PaineWebber Teams with Vignette and IBM to Offer Clients Truly Personalized Online Financial Advice," Business Wire, November 7, 2000.	
		"HyperFeed Marries Streaming Real-Time Market Data, IP Multicast and The Internet," PR Newswire, April 7, 2000.	
		"Advent Software Presents with Microsoft at The Securities Industry Association Technology Conference," PR Newswire, June 20, 2000.	
		"DBC Successfully Launches Preview of My Esignal," PR Newswire, April 19, 2000.	
		"Primark Launches Globaltropic," PR Newswire. March 13, 2000.	

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